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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. RCA89550

First Inventor or Application Identifier Scott Klopferstein

Title A System for Acquiring and Processing

Express Mail Label No. EL479512662US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 21]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
4. Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy).
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee) ☒
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 [14] Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: \_\_\_\_\_

## 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Joseph S. Tripoli					
	Thomson Multimedia Licensing Inc.					
Address	Patent Operation					
	Two Independence Way, P. O. Box 5312					
City	Princeton	State	NJ	Zip Code	08543-5312	
Country	USA	Telephone	609/734-	Fax	609/734-9700	

Name (Print/Type)	Alexander J. Burke	Registration No. (Attorney/Agent)	40,425
Signature	<i>Alexander Burke</i>	Date	16 February 2000

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\*Broadcast Programs and Program Guide Data

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement,  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
 See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 862

## Complete if Known

Application Number \_\_\_\_\_  
 Filing Date HEREWITH  
 First Named Inventor Scott Klopfenstein, et al.  
 Examiner Name \_\_\_\_\_  
 Group / Art Unit \_\_\_\_\_  
 Attorney Docket No. RCA89550

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 07-0832

Deposit Account Name THOMSON multimedia  
Licensing Inc.

- ☒ Charge Any Additional Fee Required  
 Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		101 690	201 345	Utility filing fee	690
		106 310	206 155	Design filing fee	
		107 480	207 240	Plant filing fee	
		108 690	208 345	Reissue filing fee	
		114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 690

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
23	-20** = 3	18	54
4	-3** = 1	78	78
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

### Large Entity Small Entity

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
		103 18	203 9	Claims in excess of 20
		102 78	202 39	Independent claims in excess of 3
		104 260	204 130	Multiple dependent claim, if not paid
		109 78	209 39	** Reissue independent claims over original patent
		110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 132

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		105 130	205 65	Surcharge - late filing fee or oath	
		127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
		139 130	139 130	Non-English specification	
		147 2,520	147 2,520	For filing a request for reexamination	
		112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
		115 110	215 55	Extension for reply within first month	
		116 380	216 190	Extension for reply within second month	
		117 870	217 435	Extension for reply within third month	
		118 1,360	218 680	Extension for reply within fourth month	
		128 1,850	228 925	Extension for reply within fifth month	
		119 300	219 150	Notice of Appeal	
		120 300	220 150	Filing a brief in support of an appeal	
		121 260	221 130	Request for oral hearing	
		138 1,510	138 1,510	Petition to institute a public use proceeding	
		140 110	240 55	Petition to revive - unavoidable	
		141 1,210	241 605	Petition to revive - unintentional	
		142 1,210	242 605	Utility issue fee (or reissue)	
		143 430	243 215	Design issue fee	
		144 580	244 290	Plant issue fee	
		122 130	122 130	Petitions to the Commissioner	
		123 50	123 50	Petitions related to provisional applications	
		128 240	128 240	Submission of Information Disclosure Stmt	
		581 40	581 40	Recording each patent assignment per property (times number of properties)	40
		148 690	248 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40

## SUBMITTED BY

Name (Print/Type)	Alexander J. Burke	Registration No. (Attorney/Agent)	40,425	Complete (if applicable)	Telephone	(609) 734-9503
Signature	<i>Alexander Burke</i>	Date	16 February 2000			

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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